

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$250</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$750</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #2 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$2,500</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #3 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #4 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #5 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #6 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #7 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #8 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #9 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #10 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #11 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #12 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #13 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #14 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #15 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #16 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #17 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #18 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #19 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #20 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #21 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #22 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2021, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #24 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #25 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #26 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #27 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #28 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #29 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #30 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #31 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #32 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #33 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #34 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #35 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #36 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #37 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #38 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #39 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #40 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #41 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #42 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #43 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #48 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #49 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #50 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #51 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #52 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #53 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #54 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #55 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #56 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #57 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #58 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #59 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #60 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #61 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #62 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #63 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #64 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #65 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #66 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #67 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #72 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #73 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #74 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #75 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #76 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #77 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #78 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #79 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #80 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #81 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #82 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #83 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #84 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #85 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #86 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #87 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #88 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #89 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 21, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #90 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #91 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #92 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #93 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #94 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #95 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #96 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #97 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #98 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #99 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #100 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #101 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #102 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #103 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #104 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #105 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2021, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #106 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #107 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #108 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #109 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #110 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #111 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #112 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #113 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #114 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #115 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #116 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #117 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #118 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #119 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #120 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #121 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #122 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #123 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #124 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #125 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #126 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #127 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #128 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #129 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #130 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #131 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #132 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #133 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #134 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #135 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #136 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #137 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #138 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #139 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #140 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #141 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #142 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #143 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #144 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #145 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #146 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #147 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #148 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #149 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #150 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #151 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #152 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #153 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #154 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #155 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #156 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #157 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #158 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #159 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #160 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #161 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #162 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #163 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #164 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #165 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #166 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #167 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #168 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #169 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #170 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #171 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #172 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #173 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #174 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #175 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #176 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #177 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #178 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #179 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #180 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #181 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #182 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #183 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #184 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #185 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #186 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #187 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #188 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #189 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #190 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #191 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #194 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #195 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #196 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #197 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #198 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #199 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #200 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #201 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #202 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #203 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #204 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #205 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #206 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #207 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #208 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #209 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #210 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 02, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #218 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 03, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #219 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 03, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #220 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 03, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #221 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 03, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #222 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 03, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #223 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 03, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #224 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 03, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #225 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 03, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #226 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 03, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #227 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #228 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #229 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #230 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #231 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #232 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #233 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #234 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #235 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #236 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #237 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #238 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #239 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #240 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #241 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #242 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #243 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #244 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 04, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #245 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #246 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #247 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #248 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #249 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #250 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #251 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #252 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #253 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #254 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #255 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #256 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #257 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #258 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #259 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #260 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #261 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #262 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #263 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #264 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 05, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #269 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #270 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #271 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #272 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #273 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #274 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #275 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #276 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #277 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #278 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #279 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #280 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #281 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #282 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #283 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #284 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #285 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #286 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #287 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #288 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 06, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #293 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #294 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #295 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #296 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #297 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #298 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #299 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #300 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #301 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #302 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #303 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #304 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #305 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #306 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #307 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #308 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #309 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #310 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #311 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #312 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 07, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #316 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #317 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #318 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #319 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #320 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #321 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #322 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #323 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #324 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #325 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #326 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #327 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #328 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #329 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #330 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #331 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #332 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #333 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #334 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #335 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 08, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #340 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #341 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #342 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #343 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #344 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #345 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #346 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #347 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #348 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #349 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #350 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #351 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #352 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #353 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #354 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #355 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #356 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #357 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #358 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #359 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 09, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #363 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #364 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #365 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #366 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #367 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #368 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #369 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #370 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #371 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #372 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #373 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #374 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #375 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #376 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #377 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #378 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #379 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #380 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #381 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #382 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 10, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #387 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #388 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #389 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #390 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #391 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #392 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #393 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #394 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #395 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #396 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #397 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #398 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #399 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #400 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #401 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #402 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #403 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #404 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #405 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #406 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 11, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #410 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #411 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #412 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #413 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #414 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #415 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #416 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #417 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #418 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #419 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #420 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #421 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #422 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #423 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #424 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #425 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #426 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #427 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #428 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #429 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 12, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #432 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #433 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #434 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #435 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #436 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #437 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #438 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #439 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #440 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #441 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #442 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #443 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #444 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #445 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #446 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #447 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #448 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #449 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #450 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #451 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 13, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #455 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #456 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #457 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #458 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #459 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #460 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #461 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #462 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #463 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #464 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #465 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #466 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #467 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #468 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #469 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #470 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #471 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #472 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #473 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #474 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 14, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #479 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #480 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #481 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #482 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #483 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #484 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #485 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #486 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #487 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #488 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #489 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #490 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #491 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #492 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #493 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #494 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #495 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #496 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #497 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #498 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 15, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #501 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #502 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #503 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #504 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #505 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #506 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #507 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #508 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #509 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #510 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #511 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #512 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #513 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #514 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #515 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #516 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #517 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #518 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #519 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #520 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 16, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #524 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #525 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #526 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #527 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #528 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #529 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #530 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #531 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #532 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #533 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #534 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #535 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #536 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #537 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #538 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #539 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #540 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #541 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #542 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #543 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 17, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #548 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #549 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #550 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #551 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #552 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #553 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #554 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #555 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #556 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #557 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #558 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #559 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #560 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #561 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #562 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #563 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #564 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #565 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #566 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #567 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 18, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #571 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #572 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #573 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #574 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #575 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #576 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #577 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #578 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #579 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #580 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #581 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #582 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #583 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #584 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #585 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #586 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #587 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #588 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #589 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #590 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 19, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #595 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #596 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #597 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #598 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #599 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #600 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #601 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #602 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #603 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #604 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #605 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #606 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #607 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #608 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #609 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #610 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #611 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #612 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #613 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #614 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 20, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #619 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #620 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #621 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #622 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #623 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #624 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #625 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #626 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #627 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #628 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #629 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #630 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #631 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #632 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #633 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #634 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #635 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #636 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #637 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #638 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 21, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #643 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #644 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #645 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #646 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #647 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #648 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #649 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #650 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #651 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #652 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #653 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #654 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #655 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #656 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #657 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #658 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #659 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #660 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #661 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #662 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 22, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #666 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #667 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #668 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #669 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #670 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #671 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #672 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #673 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #674 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #675 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #676 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #677 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #678 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #679 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #680 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #681 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #682 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #683 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #684 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #685 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 23, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #690 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #691 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #692 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #693 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #694 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #695 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #696 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #697 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #698 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #699 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #700 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #701 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #702 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #703 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #704 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #705 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #706 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #707 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #708 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #709 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 24, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #714 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #715 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #716 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #717 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #718 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #719 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #720 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #721 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #722 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #723 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #724 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #725 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #726 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #727 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #728 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #729 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #730 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #731 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #732 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #733 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 25, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #738 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #739 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #740 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #741 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #742 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #743 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #744 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #745 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #746 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #747 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #748 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #749 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #750 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #751 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #752 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #753 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #754 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #755 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #756 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #757 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 26, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #762 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #763 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #764 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #765 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #766 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #767 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #768 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #769 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #770 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #771 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #772 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #773 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #774 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #775 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #776 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #777 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #778 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #779 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #780 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #781 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 27, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #786 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #787 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #788 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #789 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #790 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #791 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #792 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #793 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #794 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #795 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #796 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #797 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #798 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #799 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #800 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #801 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #802 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #803 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 28, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #804 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #805 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #806 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #807 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #808 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #809 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #810 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #811 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #812 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #813 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #814 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #815 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #816 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #817 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #818 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #819 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #820 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #821 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #822 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #823 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 29, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #828 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #829 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #830 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #831 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #832 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #833 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #834 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #835 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #836 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #838 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #839 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #840 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #841 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #842 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #843 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #844 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #845 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #846 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #847 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 30, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #852 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #853 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #854 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #855 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #856 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #857 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #858 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #859 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #860 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #861 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #862 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #863 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #864 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #865 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #866 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #867 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #868 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #869 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #870 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 01, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #871 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 01, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #875 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #876 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #877 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #878 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #879 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #880 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #881 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #882 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #883 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #884 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #885 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #886 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #887 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #888 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #889 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 02, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #890 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 02, 2021, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #891 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #892 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #893 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #894 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #895 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #896 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #897 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #898 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #899 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #900 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #901 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #902 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #903 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #904 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #905 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #906 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #907 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #908 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #909 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 03, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #910 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 03, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #914 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #915 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #916 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #917 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #918 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #919 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #920 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #921 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #922 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #923 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #924 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #925 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #926 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #927 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #928 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #929 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #930 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #931 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #932 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 04, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #933 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 04, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #938 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #939 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #940 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #941 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #942 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #943 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #944 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #945 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #946 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #947 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #948 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #949 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #950 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #951 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #952 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #953 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #954 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #955 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #956 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 05, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #957 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 05, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #962 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #963 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #964 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #965 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #966 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #967 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #968 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #969 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #970 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #971 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #972 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #973 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #974 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #975 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #976 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #977 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #978 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #979 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #980 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 06, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #981 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 06, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #986 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #987 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #988 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #989 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #990 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #991 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #992 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #993 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #994 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #995 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #996 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #997 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #998 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #999 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 07, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,000 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 07, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,001 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,002 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,003 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,004 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,005 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,006 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,007 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,008 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,009 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,010 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,011 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,012 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,013 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,014 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,015 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,016 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,017 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,018 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,019 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 08, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,020 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 08, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,025 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,026 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,027 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,028 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,029 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,030 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,031 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,032 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,033 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,034 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,035 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,036 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,037 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,038 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

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Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,039 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,040 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,041 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,042 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,043 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 09, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,044 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 09, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,046 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,047 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,048 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,049 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,050 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,051 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,052 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,053 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,054 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,055 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,056 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,057 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,058 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,059 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,060 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,061 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,062 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,063 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,064 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,065 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 10, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,070 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,071 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,072 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,073 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,074 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,075 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,076 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,077 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,078 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,079 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,080 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,081 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,082 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,083 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,084 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,085 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,086 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,087 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,088 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,089 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 11, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,094 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,095 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,096 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,097 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,098 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,099 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,100 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,101 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,102 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,103 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,104 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,105 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,106 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,107 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,108 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,109 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,110 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,111 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,112 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,113 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 12, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,118 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,119 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,120 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,121 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,122 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,123 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,124 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,125 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,126 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,127 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,128 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,129 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,130 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,131 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,132 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,133 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,134 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,135 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,136 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,137 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 13, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,142 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,143 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,144 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,145 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,146 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,147 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,148 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,149 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,150 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,151 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,152 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,153 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,154 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,155 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,156 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,157 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,158 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,159 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,160 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,161 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 14, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,166 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,167 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,168 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,169 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,170 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,171 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,172 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,173 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,174 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,175 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,176 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,177 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,178 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,179 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,180 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,181 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,182 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,183 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,184 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,185 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 15, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,189 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,190 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,191 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,192 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,193 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,194 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,195 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,196 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,197 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,198 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,199 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,200 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,201 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,202 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,203 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,204 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,205 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,206 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,207 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,208 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 16, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,209 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,210 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,215 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,216 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,217 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,218 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,219 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,220 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,221 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,222 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,223 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,224 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,225 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,226 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,227 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,228 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 17, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,232 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 18, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,233 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 18, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,234 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 18, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,235 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 18, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,236 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 18, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 18, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,237 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 18, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,238 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 19, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,239 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 19, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,240 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 19, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,241 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 19, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 19, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,242 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 19, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,243 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 20, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,244 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 20, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,245 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 20, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,246 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 20, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 20, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,247 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 20, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,248 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 21, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,249 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 21, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,250 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 21, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,251 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 21, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 21, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,252 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 21, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,253 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 22, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,254 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 22, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,255 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 22, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,256 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 22, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 22, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,257 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 22, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,258 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 23, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,259 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 23, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,260 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 23, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,261 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 23, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 23, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,262 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 23, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,263 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 24, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,264 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 24, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,265 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 24, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,266 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 24, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 24, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,267 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 24, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,268 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 25, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,269 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 25, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,270 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 25, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,271 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 25, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,272 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 25, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,273 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 25, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 25, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,274 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 25, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,275 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 26, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,276 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 26, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,277 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 26, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,278 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 26, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,279 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 26, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,280 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 26, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 26, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,281 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 26, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,282 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,283 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,284 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,285 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,286 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,287 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,288 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,289 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,290 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,291 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,292 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,293 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,294 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,295 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,296 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,297 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,298 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 27, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,299 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,300 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,301 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,302 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,303 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,304 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,305 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,306 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,307 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,308 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,309 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,310 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,311 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,312 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,313 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,314 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,315 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,316 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,317 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,318 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 28, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,322 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,323 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,324 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,325 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,326 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,327 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,328 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,329 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,330 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,331 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,332 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,333 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,334 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,335 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,336 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,337 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,338 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,339 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,340 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 29, 2021, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,341 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,342 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,343 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,344 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,345 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,346 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,347 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,348 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,349 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,350 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,351 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,352 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,353 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,354 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,355 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,356 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 30, 2021, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,357 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,358 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,359 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,360 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,361 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,362 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,363 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,364 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,365 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,366 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,367 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,368 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,369 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,370 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,371 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2021
Date of Referral/Self Report	November 30, 2022	This is contravention #1,372 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 31, 2021, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,373 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,374 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,375 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,376 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,377 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,378 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,379 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,380 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,381 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,382 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,383 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,384 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,385 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,386 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,387 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,388 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,389 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,390 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 01, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,391 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,392 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,393 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,394 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,395 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,396 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,397 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,398 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,399 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,400 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,401 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,402 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,403 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,404 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,405 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,406 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 02, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,407 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,408 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,409 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,410 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,411 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,412 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,413 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,414 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,415 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,416 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,417 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,418 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,419 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,420 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,421 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,422 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,423 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,424 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,425 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 03, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,426 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,427 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,428 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,429 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,430 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,431 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,432 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,433 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,434 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,435 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,436 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,437 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,438 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,439 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,440 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,441 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,442 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,443 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,444 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,445 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 04, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,450 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,451 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,452 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,453 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,454 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,455 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,456 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,457 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,458 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,459 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,460 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,461 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,462 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,463 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,464 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,465 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,466 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,467 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,468 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,469 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 05, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,474 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,475 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,476 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,477 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,478 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,479 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,480 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,481 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,482 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,483 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,484 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,485 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,486 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,487 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,488 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,489 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,490 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,491 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,492 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,493 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 06, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,498 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,499 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,500 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,501 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,502 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,503 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,504 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,505 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,506 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,507 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,508 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,509 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,510 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,511 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,512 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,513 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,514 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,515 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,516 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,517 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 07, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,522 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,523 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,524 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,525 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,526 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,527 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,528 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,529 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,530 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,531 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,532 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,533 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,534 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 08, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,535 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,536 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,537 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,538 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,539 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,540 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,541 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,542 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,543 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,544 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,545 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,546 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,547 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,548 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,549 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,550 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,551 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,552 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,553 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,554 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 09, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,555 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,556 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,557 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,558 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,559 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,560 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,561 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,562 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,563 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,564 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,565 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,566 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,567 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,568 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 10, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,569 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,570 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,571 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,572 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,573 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,574 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,575 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,576 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,577 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,578 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,579 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,580 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,581 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,582 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,583 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,584 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,585 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,586 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,587 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,588 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,589 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,590 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,591 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,592 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,593 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,594 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,595 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,596 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,597 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,598 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,599 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,600 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,601 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,602 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,603 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,604 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,605 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 12, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,606 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,607 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,608 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,609 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,610 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,611 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,612 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,613 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,614 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,615 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,616 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,617 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,618 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,619 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,620 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,621 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,622 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,623 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,624 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,625 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,630 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,631 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,632 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,633 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,634 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,635 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,636 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,637 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,638 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,639 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,640 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,641 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,642 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,643 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,644 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,645 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,646 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,647 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,648 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,649 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,650 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,651 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,652 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,653 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,654 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,655 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,656 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,657 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,658 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,659 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,660 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,661 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,662 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,663 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,664 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,665 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,666 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,667 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,668 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,669 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,670 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,671 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,672 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,673 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,674 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,675 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,676 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,677 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,678 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,679 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,680 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,681 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,682 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,683 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 16, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,684 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,685 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,686 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,687 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,688 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,689 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,690 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,691 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,692 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,693 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,694 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,695 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,696 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,697 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,698 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,699 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,700 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,701 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,702 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 17, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,703 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,704 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,705 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,706 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,707 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,708 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,709 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,710 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,711 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,712 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,713 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,714 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,715 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,716 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,717 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,718 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,719 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,720 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,721 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,722 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 18, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,723 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,724 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,725 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,726 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,727 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,728 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,729 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,730 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,731 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,732 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,733 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,734 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,735 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,736 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,737 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,738 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,739 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,740 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,741 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,742 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,747 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,748 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,749 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,750 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,751 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,752 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,753 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,754 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,755 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,756 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,757 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,758 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,759 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,760 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,761 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,762 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,763 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,764 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,765 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,766 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,771 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,772 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,773 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,774 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,775 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,776 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,777 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,778 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,779 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,780 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,781 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,782 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,783 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,784 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,785 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,786 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,787 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,788 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,789 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,790 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,794 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,795 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,796 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,797 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,798 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,799 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,800 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,801 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,802 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,803 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,804 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,805 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,806 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,807 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,808 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,809 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,810 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,811 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,812 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,813 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 22, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,818 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,819 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,820 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,821 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,822 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,823 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,824 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,825 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,826 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,827 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,828 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,829 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,830 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,831 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,832 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,833 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,834 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,835 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,836 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 23, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,842 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,843 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,844 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,845 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,846 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,847 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,848 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,849 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,850 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,851 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,852 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,853 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,854 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,855 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,856 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,857 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,858 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,859 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,860 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,861 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 24, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,866 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,867 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,868 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,869 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,870 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,871 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,872 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,873 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,874 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,875 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,876 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,877 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,878 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,879 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,880 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,881 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,882 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,883 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,884 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,885 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,890 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,891 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,892 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,893 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,894 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,895 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,896 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,897 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,898 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,899 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,900 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,901 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,902 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,903 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,904 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,905 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,906 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,907 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,908 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,909 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,913 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,914 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,915 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,916 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,917 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,918 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,919 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,920 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,921 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,922 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,923 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,924 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,925 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,926 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,927 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,928 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,929 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,930 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,931 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,932 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 27, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,937 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,938 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,939 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,940 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,941 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,942 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,943 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,944 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,945 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,946 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,947 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,948 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,949 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,950 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,951 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,952 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,953 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,954 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,955 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,956 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 28, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,959 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,960 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,961 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,962 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,963 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,964 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,965 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,966 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,967 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,968 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,969 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,970 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,971 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,972 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,973 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,974 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,975 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,976 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,977 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,978 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 29, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,981 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,982 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,983 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,984 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,985 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,986 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,987 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,988 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,989 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,990 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,991 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,992 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,993 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,994 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,995 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,996 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,997 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,998 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #1,999 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,000 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 30, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,003 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,004 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,005 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,006 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,007 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,008 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,009 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,010 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,011 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,012 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,013 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,014 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,015 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,016 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,017 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,018 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,019 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,020 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,021 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,022 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 31, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,025 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,026 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,027 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,028 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,029 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,030 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,031 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,032 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,033 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,034 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,035 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,036 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,037 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,038 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,039 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,040 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,041 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,042 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,043 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,044 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 01, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,049 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,050 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,051 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,052 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,053 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,054 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,055 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,056 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,057 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,058 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,059 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,060 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,061 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,062 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,063 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,064 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,065 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,066 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,067 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,068 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 02, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,070 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,071 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,072 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,073 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,074 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,075 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,076 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,077 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,078 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,079 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,080 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,081 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,082 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,083 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,084 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,085 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,086 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,087 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 03, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,088 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,089 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,090 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,091 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,092 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,093 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,094 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,095 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,096 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,097 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,098 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,099 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,100 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,101 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,102 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,103 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,104 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,105 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,106 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,107 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 04, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,110 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,111 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,112 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,113 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,114 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,115 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,116 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,117 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,118 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,119 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,120 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,121 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,122 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,123 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,124 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,125 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,126 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,127 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,128 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,129 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 05, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,130 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,131 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,132 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,133 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,134 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,135 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,136 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,137 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,138 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,139 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,140 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,141 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,142 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,143 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,144 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,145 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,146 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,147 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,148 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,149 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 06, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,152 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,153 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,154 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,155 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,156 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,157 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,158 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,159 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,160 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,161 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,162 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,163 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,164 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,165 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,166 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,167 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,168 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,169 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,170 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,171 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 07, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,176 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,177 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,178 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,179 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,180 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,181 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,182 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,183 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,184 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,185 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,186 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,187 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,188 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,189 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,190 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,191 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,192 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,193 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,194 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,195 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 08, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,198 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,199 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,200 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,201 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,202 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,203 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,204 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,205 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,206 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,207 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,208 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,209 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,210 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,215 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,216 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,217 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 09, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,220 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,221 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,222 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,223 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,224 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,225 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,226 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,227 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,228 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,229 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,230 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,231 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,232 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,233 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,234 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,235 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,236 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,237 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,238 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,239 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 10, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,244 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,245 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,246 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,247 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,248 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,249 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,250 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,251 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,252 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,253 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,254 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,255 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,256 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,257 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,258 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,259 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,260 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,261 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,262 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,263 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 11, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,267 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,268 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,269 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,270 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,271 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,272 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,273 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,274 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,275 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,276 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,277 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,278 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,279 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,280 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,281 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,282 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,283 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,284 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,285 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,286 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 12, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,291 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,292 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,293 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,294 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,295 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,296 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,297 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,298 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,299 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,300 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,301 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,302 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,303 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,304 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,305 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,306 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,307 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,308 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,309 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,310 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 13, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,315 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,316 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,317 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,318 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,319 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,320 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,321 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,322 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,323 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,324 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,325 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,326 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,327 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,328 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,329 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,330 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,331 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,332 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,333 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,334 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,339 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,340 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,341 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,342 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,343 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,344 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,345 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,346 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,347 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,348 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,349 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,350 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,351 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,352 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,353 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,354 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,355 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,356 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,357 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,358 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 15, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,363 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,364 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,365 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,366 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,367 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,368 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,369 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,370 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,371 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,372 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,373 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,374 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,375 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,376 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,377 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,378 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,379 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,380 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,381 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,382 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,387 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,388 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,389 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,390 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,391 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,392 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,393 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,394 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,395 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,396 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,397 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,398 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,399 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,400 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,401 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,402 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,403 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,404 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,405 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,406 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 17, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,408 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,409 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,410 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,411 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,412 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,413 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,414 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,415 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,416 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,417 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,418 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,419 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,420 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,421 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,422 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,423 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,424 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,425 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,426 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,427 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 18, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,432 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,433 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,434 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,435 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,436 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,437 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,438 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,439 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,440 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,441 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,442 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,443 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,444 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,445 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,446 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,447 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,448 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,449 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,450 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,451 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 19, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,456 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,457 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,458 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,459 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,460 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,461 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,462 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,463 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,464 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,465 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,466 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,467 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,468 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,469 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,470 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,471 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,472 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,473 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,474 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,475 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 20, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,478 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,479 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,480 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,481 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,482 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,483 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,484 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,485 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,486 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,487 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,488 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,489 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,490 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,491 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,492 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,493 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,494 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,495 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,496 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,497 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 21, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,499 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,500 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,501 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,502 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,503 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,504 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,505 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,506 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,507 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,508 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,509 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,510 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,511 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,512 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,513 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,514 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,515 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,516 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,517 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,518 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 22, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,519 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,520 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,521 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,522 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,523 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,524 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,525 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,526 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,527 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,528 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,529 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,530 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,531 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,532 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,533 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,534 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,535 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,536 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,537 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,538 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 23, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,543 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,544 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,545 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,546 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,547 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,548 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,549 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,550 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,551 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,552 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,553 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,554 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,555 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,556 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,557 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,558 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,559 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,560 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,561 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,562 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,567 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,568 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,569 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,570 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,571 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,572 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,573 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,574 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,575 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,576 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,577 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,578 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,579 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,580 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,581 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,582 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,583 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,584 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,585 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,586 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 25, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,591 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,592 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,593 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,594 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,595 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,596 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,597 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,598 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,599 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,600 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,601 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,602 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,603 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,604 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,605 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,606 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,607 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,608 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,609 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,610 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 26, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,615 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,616 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,617 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,618 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,619 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,620 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,621 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,622 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,623 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,624 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,625 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,626 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,627 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,628 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,629 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,630 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,631 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,632 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,633 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,634 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 27, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,639 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,640 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,641 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,642 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,643 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,644 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,645 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,646 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,647 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,648 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,649 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,650 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,651 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,652 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,653 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,654 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,655 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,656 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,657 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,658 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 28, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,662 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,663 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,664 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,665 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,666 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,667 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,668 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,669 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,670 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,671 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,672 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,673 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,674 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,675 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,676 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,677 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,678 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,679 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,680 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,681 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 01, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,686 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 02, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,687 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 02, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,688 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 02, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,689 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 02, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,690 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 02, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,691 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 02, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,692 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 02, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,693 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 02, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,694 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 02, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,695 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 02, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,696 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,697 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,698 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,699 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,700 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,701 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,702 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,703 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,704 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,705 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,706 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,707 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,708 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,709 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,710 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,711 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,712 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,713 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,714 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,715 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 03, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,716 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,717 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,718 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,719 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,720 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,721 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,722 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,723 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,724 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,725 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,726 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,727 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,728 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,729 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,730 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,731 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,732 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,733 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,734 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,735 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 04, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,740 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,741 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,742 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,743 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,744 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,745 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,746 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,747 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,748 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,749 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,750 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,751 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,752 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,753 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,754 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,755 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,756 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,757 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,758 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,759 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 05, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,764 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,765 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,766 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,767 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,768 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,769 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,770 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,771 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,772 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,773 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,774 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,775 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,776 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,777 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,778 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,779 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,780 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,781 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,782 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,783 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 06, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,788 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,789 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,790 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,791 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,792 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,793 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,794 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,795 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,796 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,797 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,798 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,799 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,800 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,801 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,802 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,803 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,804 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,805 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,806 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,807 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 07, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,810 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,811 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,812 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,813 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,814 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,815 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,816 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,817 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,818 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,819 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,820 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,821 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,822 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,823 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,824 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,825 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,826 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,827 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,828 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,829 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 08, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,834 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,835 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,836 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,838 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,839 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,840 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,841 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,842 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,843 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,844 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,845 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,846 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,847 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,848 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,849 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,850 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,851 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,852 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,853 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 09, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,858 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,859 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,860 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,861 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,862 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,863 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,864 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,865 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,866 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,867 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,868 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,869 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,870 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,871 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,872 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,873 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,874 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,875 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,876 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,877 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 10, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,882 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,883 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,884 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,885 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,886 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,887 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,888 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,889 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,890 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,891 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,892 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,893 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,894 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,895 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,896 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,897 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,898 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,899 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,900 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,901 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 11, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,906 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,907 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,908 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,909 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,910 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,911 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,912 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,913 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,914 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,915 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,916 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,917 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,918 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,919 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,920 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,921 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,922 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,923 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,924 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,925 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 12, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,930 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,931 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,932 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,933 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,934 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,935 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,936 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,937 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,938 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,939 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,940 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,941 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,942 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,943 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,944 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,945 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,946 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,947 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,948 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,949 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 13, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,953 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,954 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,955 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,956 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,957 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,958 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,959 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,960 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,961 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,962 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,963 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,964 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,965 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,966 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,967 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,968 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,969 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,970 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,971 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,972 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 14, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,977 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,978 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,979 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,980 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,981 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,982 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,983 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,984 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,985 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,986 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,987 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,988 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,989 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,990 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,991 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,992 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,993 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,994 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,995 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #2,996 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 15, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,001 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,002 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,003 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,004 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,005 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,006 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,007 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,008 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,009 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,010 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,011 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,012 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,013 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,014 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,015 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,016 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,017 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,018 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,019 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,020 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 16, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,025 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,026 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,027 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,028 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,029 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,030 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,031 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,032 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,033 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,034 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,035 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,036 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,037 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,038 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,039 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,040 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,041 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,042 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,043 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,044 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 17, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,049 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,050 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,051 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,052 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,053 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,054 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,055 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,056 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,057 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,058 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,059 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,060 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,061 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,062 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,063 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,064 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,065 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,066 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,067 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,068 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 18, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,073 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,074 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,075 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,076 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,077 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,078 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,079 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,080 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,081 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,082 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,083 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,084 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,085 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,086 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,087 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,088 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,089 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,090 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,091 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,092 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 19, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,097 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,098 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,099 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,100 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,101 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,102 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,103 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,104 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,105 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,106 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,107 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,108 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,109 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,110 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,111 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,112 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,113 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,114 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,115 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,116 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 20, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,121 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,122 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,123 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,124 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,125 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,126 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,127 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,128 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,129 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,130 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,131 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,132 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,133 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,134 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,135 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,136 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,137 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,138 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,139 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,140 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 21, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,145 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,146 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,147 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,148 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,149 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,150 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,151 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,152 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,153 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,154 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,155 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,156 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,157 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,158 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,159 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,160 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,161 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,162 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,163 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,164 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,169 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,170 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,171 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,172 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,173 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,174 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,175 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,176 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,177 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,178 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,179 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,180 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,181 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,182 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,183 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,184 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,185 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,186 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,187 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,188 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 23, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,192 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,193 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,194 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,195 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,196 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,197 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,198 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,199 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,200 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,201 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,202 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,203 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,204 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,205 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,206 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,207 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,208 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,209 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,210 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 24, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,215 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,216 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,217 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,218 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,219 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,220 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,221 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,222 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,223 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,224 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,225 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,226 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,227 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,228 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,229 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,230 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 25, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,235 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,236 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,237 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,238 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,239 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,240 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,241 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,242 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,243 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,244 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,245 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,246 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,247 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,248 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,249 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,250 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,251 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,252 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,253 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,254 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 26, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,259 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,260 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,261 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,262 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,263 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,264 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,265 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,266 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,267 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,268 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,269 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,270 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,271 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,272 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,273 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,274 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,275 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,276 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,277 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,278 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 27, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,283 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,284 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,285 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,286 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,287 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,288 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,289 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,290 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,291 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,292 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,293 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,294 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,295 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,296 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,297 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,298 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,299 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,300 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,301 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,302 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 28, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,307 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,308 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,309 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,310 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,311 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,312 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,313 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,314 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,315 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,316 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,317 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,318 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,319 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,320 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,321 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,322 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,323 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,324 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,325 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,326 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 29, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,331 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,332 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,333 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,334 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,335 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,336 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,337 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,338 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,339 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,340 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,341 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,342 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,343 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,344 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,345 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,346 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,347 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,348 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,349 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,350 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 30, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,355 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,356 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,357 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,358 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,359 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,360 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,361 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,362 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,363 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,364 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,365 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,366 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,367 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,368 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,369 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,370 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,371 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,372 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,373 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,374 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 31, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,379 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,380 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,381 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,382 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,383 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,384 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,385 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,386 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,387 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,388 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,389 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,390 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,391 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,392 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,393 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,394 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,395 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,396 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,397 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,398 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 01, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,403 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,404 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,405 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,406 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,407 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,408 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,409 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,410 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,411 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,412 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,413 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,414 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,415 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,416 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,417 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,418 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,419 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,420 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,421 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,422 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 02, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,427 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,428 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,429 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,430 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,431 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,432 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,433 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,434 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,435 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,436 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,437 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,438 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,439 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,440 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,441 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,442 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,443 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,444 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,445 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,446 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 03, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,451 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,452 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,453 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,454 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,455 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,456 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,457 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,458 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,459 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,460 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,461 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,462 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,463 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,464 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,465 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,466 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,467 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,468 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,469 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,470 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 04, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,475 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,476 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,477 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,478 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,479 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,480 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,481 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,482 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,483 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,484 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,485 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,486 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,487 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,488 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,489 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,490 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,491 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,492 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,493 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,494 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 05, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,497 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,498 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,499 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,500 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,501 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,502 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,503 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,504 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,505 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,506 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,507 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,508 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,509 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,510 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,511 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,512 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,513 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,514 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,515 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,516 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 06, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,521 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,522 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,523 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,524 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,525 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,526 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,527 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,528 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,529 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,530 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,531 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,532 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,533 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,534 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,535 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,536 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,537 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,538 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,539 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,540 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 07, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,545 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,546 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,547 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,548 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,549 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,550 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,551 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,552 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,553 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,554 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,555 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,556 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,557 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,558 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,559 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,560 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,561 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,562 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,563 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,564 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 08, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,569 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,570 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,571 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,572 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,573 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,574 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,575 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,576 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,577 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,578 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,579 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,580 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,581 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,582 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,583 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,584 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,585 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,586 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,587 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,588 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 09, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,593 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,594 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,595 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,596 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,597 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,598 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,599 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,600 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,601 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,602 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,603 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,604 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,605 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,606 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,607 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,608 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,609 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,610 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,611 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,612 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 10, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,617 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,618 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,619 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,620 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,621 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,622 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,623 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,624 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,625 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,626 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,627 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,628 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,629 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,630 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,631 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,632 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,633 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,634 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,635 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,636 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 11, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,641 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,642 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,643 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,644 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,645 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,646 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,647 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,648 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,649 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,650 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,651 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,652 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,653 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,654 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,655 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,656 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,657 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,658 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,659 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,660 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 12, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,665 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,666 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,667 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,668 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,669 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,670 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,671 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,672 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,673 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,674 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,675 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,676 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,677 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,678 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,679 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,680 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,681 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,682 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,683 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,684 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,689 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,690 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,691 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,692 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,693 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,694 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,695 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,696 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,697 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,698 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,699 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,700 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,701 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,702 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,703 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,704 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,705 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,706 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,707 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,708 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 14, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,713 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,714 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,715 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,716 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,717 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,718 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,719 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,720 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,721 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,722 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,723 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,724 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,725 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,726 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,727 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,728 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,729 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,730 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,731 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,732 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 15, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,737 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,738 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,739 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,740 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,741 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,742 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,743 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,744 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,745 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,746 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,747 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,748 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,749 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,750 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,751 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,752 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,753 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,754 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,755 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,756 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 16, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,761 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,762 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,763 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,764 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,765 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,766 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,767 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,768 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,769 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,770 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,771 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,772 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,773 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,774 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,775 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,776 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,777 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,778 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,779 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,780 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 17, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,785 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,786 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,787 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,788 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,789 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,790 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,791 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,792 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,793 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,794 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,795 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,796 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,797 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,798 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,799 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,800 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,801 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,802 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,803 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,804 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 18, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,809 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,810 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,811 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,812 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,813 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,814 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,815 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,816 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,817 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,818 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,819 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,820 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,821 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,822 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,823 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,824 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,825 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,826 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,827 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,828 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,829 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,830 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,831 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,832 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,833 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,834 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,835 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,836 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,838 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,839 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,840 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,841 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,842 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 20, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,847 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,848 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,849 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,850 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,851 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,852 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,853 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,854 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,855 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,856 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,857 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,858 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,859 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,860 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,861 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,862 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,863 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,864 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,865 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,866 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 21, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,871 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,872 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,873 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,874 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,875 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,876 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,877 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,878 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,879 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,880 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,881 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,882 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,883 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,884 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,885 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,886 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,887 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,888 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,889 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,890 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 22, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,895 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,896 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,897 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,898 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,899 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,900 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,901 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,902 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,903 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,904 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,905 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,906 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,907 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,908 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,909 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,910 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,911 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,912 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,913 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,914 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 23, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,919 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,920 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,921 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,922 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,923 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,924 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,925 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,926 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,927 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,928 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,929 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,930 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,931 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,932 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,933 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,934 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,935 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,936 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,937 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,938 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 24, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,939 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 25, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,940 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 25, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,941 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 25, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,942 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 25, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,943 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 25, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,944 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 25, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,945 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 25, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,946 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 25, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,947 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,948 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,949 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,950 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,951 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,952 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,953 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,954 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,955 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,956 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,957 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,958 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,959 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,960 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,961 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,962 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,963 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,964 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,965 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,966 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 26, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,971 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,972 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,973 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,974 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,975 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,976 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,977 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,978 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,979 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,980 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,981 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,982 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 27, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,983 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,984 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,985 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,986 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,987 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,988 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,989 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,990 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,991 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,992 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,993 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,994 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,995 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,996 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,997 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,998 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #3,999 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,000 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,001 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,002 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 28, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,007 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,008 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,009 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,010 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,011 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,012 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,013 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,014 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,015 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,016 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,017 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,018 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,019 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,020 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,021 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,022 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,023 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,024 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,025 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,026 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 29, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,031 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,032 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,033 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,034 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,035 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,036 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,037 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,038 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,039 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,040 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,041 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,042 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,043 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,044 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,045 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,046 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,047 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,048 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,049 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,050 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 30, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,055 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,056 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,057 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,058 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,059 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,060 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,061 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,062 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,063 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,064 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,065 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,066 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,067 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,068 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,069 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,070 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,071 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,072 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,073 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,074 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 01, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,079 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,080 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,081 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,082 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,083 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,084 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,085 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,086 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,087 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,088 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,089 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,090 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,091 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,092 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,093 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,094 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,095 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,096 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,097 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,098 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 02, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,103 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,104 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,105 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,106 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,107 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,108 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,109 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,110 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,111 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,112 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,113 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,114 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,115 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,116 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,117 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,118 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,119 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,120 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,121 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,122 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 03, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,126 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,127 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,128 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,129 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,130 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,131 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,132 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,133 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,134 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,135 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,136 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,137 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,138 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,139 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,140 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,141 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,142 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,143 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,144 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,145 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 04, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,150 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 05, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,151 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 05, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,152 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 05, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,153 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 05, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,154 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 05, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,155 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 05, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,156 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 05, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,157 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 05, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,158 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 05, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,159 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 05, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,160 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 07, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,161 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 07, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,162 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 07, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,163 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 07, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,164 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,165 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,166 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,167 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,168 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,169 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,170 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,171 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,172 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,173 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,174 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,175 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,176 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 08, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,177 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,178 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,179 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,180 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,181 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,182 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,183 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,184 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,185 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,186 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,187 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,188 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 09, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,189 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,190 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,191 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,192 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,193 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,194 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,195 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,196 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,197 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,198 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,199 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,200 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,201 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,202 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,203 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,204 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,205 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,206 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,207 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,208 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 10, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,215 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,216 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,217 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,218 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,219 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,220 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,221 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,222 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,223 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,224 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,225 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,226 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,227 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,228 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,229 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,230 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,231 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,232 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 11, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,233 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,234 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,235 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,236 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,237 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,238 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,239 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,240 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,241 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,242 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,243 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,244 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,245 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,246 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,247 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,248 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,249 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,250 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,251 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 12, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,252 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,253 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,254 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,255 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,256 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,257 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,258 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,259 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,260 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,261 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,262 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,263 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,264 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,265 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,266 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,267 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,268 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,269 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,270 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,271 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 13, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,276 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,277 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,278 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,279 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,280 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,281 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,282 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,283 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,284 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,285 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,286 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,287 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,288 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,289 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,290 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,291 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,292 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,293 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,294 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,295 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 14, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,300 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,301 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,302 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,303 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,304 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,305 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,306 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,307 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,308 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,309 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,310 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,311 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,312 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,313 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,314 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,315 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,316 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,317 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,318 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,319 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 15, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,324 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,325 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,326 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,327 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,328 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,329 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,330 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,331 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,332 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,333 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,334 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,335 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,336 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,337 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,338 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,339 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,340 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,341 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,342 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,343 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 16, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,348 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,349 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,350 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,351 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,352 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,353 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,354 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,355 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,356 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,357 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,358 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,359 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,360 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,361 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,362 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,363 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,364 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,365 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,366 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,367 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 17, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,368 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,369 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,370 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,371 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,372 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,373 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,374 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,375 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,376 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,377 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,378 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,379 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,380 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,381 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,382 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,383 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,384 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,385 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,386 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,387 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 18, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,392 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,393 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,394 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,395 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,396 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,397 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,398 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,399 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,400 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,401 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,402 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,403 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,404 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,405 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,406 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,407 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,408 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,409 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,410 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,411 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 19, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,414 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,415 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,416 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,417 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,418 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,419 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,420 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,421 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,422 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,423 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,424 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,425 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,426 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,427 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,428 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,429 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,430 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,431 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,432 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,433 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 20, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,438 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,439 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,440 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,441 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,442 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,443 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,444 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,445 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,446 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,447 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,448 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,449 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,450 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,451 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,452 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,453 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,454 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,455 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,456 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,457 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 21, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,462 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,463 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,464 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,465 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,466 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,467 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,468 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,469 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,470 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,471 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,472 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,473 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,474 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,475 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,476 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,477 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,478 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,479 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,480 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,481 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 22, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,486 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,487 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,488 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,489 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,490 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,491 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,492 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,493 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,494 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,495 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,496 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,497 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,498 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,499 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,500 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,501 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,502 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,503 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,504 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,505 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 23, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,510 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,511 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,512 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,513 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,514 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,515 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,516 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,517 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,518 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,519 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,520 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,521 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,522 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,523 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,524 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,525 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,526 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,527 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,528 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,529 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 24, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,534 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,535 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,536 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,537 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,538 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,539 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,540 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,541 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,542 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,543 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,544 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,545 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,546 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,547 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,548 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,549 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,550 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,551 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,552 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,553 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 25, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,558 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,559 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,560 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,561 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,562 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,563 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,564 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,565 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,566 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,567 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,568 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,569 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,570 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,571 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,572 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,573 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,574 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,575 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,576 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,577 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 26, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,581 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,582 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,583 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,584 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,585 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,586 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,587 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,588 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,589 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,590 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,591 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,592 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,593 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,594 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,595 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,596 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,597 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,598 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,599 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,600 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 27, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,605 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,606 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,607 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,608 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,609 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,610 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,611 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,612 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,613 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,614 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,615 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,616 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,617 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,618 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,619 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,620 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,621 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,622 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,623 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,624 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 28, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,629 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,630 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,631 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,632 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,633 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,634 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,635 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,636 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,637 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,638 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,639 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,640 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,641 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,642 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,643 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,644 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,645 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,646 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,647 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,648 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 29, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,653 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,654 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,655 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,656 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,657 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,658 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,659 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,660 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,661 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,662 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,663 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,664 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,665 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,666 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,667 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,668 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,669 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,670 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,671 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,672 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 30, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,677 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,678 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,679 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,680 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,681 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,682 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,683 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,684 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,685 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,686 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,687 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,688 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,689 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,690 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,691 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,692 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,693 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,694 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,695 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,696 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On May 31, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,701 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,702 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,703 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,704 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,705 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,706 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,707 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,708 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,709 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,710 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,711 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,712 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,713 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,714 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,715 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,716 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,717 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,718 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,719 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,720 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 01, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,725 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,726 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,727 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,728 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,729 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,730 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,731 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,732 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,733 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,734 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,735 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,736 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,737 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,738 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,739 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,740 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,741 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,742 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,743 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,744 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 02, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,749 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,750 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,751 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,752 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,753 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,754 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,755 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,756 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,757 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,758 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,759 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,760 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,761 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,762 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,763 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,764 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,765 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,766 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,767 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,768 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 03, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,773 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,774 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,775 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,776 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,777 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,778 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,779 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,780 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,781 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,782 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,783 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,784 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,785 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,786 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,787 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,788 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,789 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,790 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,791 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,792 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 04, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,797 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,798 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,799 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,800 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,801 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,802 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,803 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,804 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,805 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,806 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,807 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,808 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,809 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,810 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,811 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,812 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,813 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,814 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,815 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,816 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 05, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,821 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,822 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,823 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,824 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,825 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,826 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,827 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,828 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,829 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,830 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,831 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,832 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,833 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,834 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,835 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,836 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,838 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,839 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,840 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 06, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,843 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,844 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,845 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,846 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,847 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,848 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,849 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,850 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,851 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,852 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,853 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,854 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,855 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,856 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,857 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,858 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,859 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,860 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,861 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,862 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 07, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,867 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,868 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,869 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,870 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,871 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,872 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,873 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,874 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,875 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,876 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,877 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,878 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,879 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,880 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,881 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,882 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,883 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,884 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,885 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,886 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 08, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,887 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,888 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,889 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,890 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,891 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,892 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,893 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,894 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,895 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,896 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,897 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,898 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,899 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,900 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,901 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,902 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,903 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,904 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,905 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,906 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 09, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,911 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,912 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,913 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,914 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,915 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,916 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,917 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,918 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,919 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,920 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,921 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,922 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,923 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,924 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,925 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,926 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,927 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,928 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,929 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,930 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 10, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,935 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,936 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,937 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,938 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,939 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,940 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,941 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,942 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,943 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,944 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,945 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,946 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,947 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,948 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,949 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,950 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,951 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,952 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,953 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,954 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,958 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,959 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,960 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,961 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,962 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,963 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,964 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,965 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,966 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,967 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,968 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,969 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,970 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,971 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,972 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,973 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,974 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,975 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,976 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,977 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 12, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,982 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,983 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,984 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,985 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,986 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,987 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,988 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,989 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,990 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,991 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,992 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,993 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,994 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,995 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,996 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,997 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,998 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #4,999 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,000 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,001 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 13, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,006 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,007 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,008 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,009 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,010 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,011 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,012 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,013 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,014 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,015 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,016 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,017 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,018 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,019 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,020 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,021 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,022 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,023 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,024 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,025 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 14, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,030 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,031 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,032 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,033 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,034 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,035 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,036 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,037 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,038 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,039 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,040 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,041 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,042 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,043 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,044 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,045 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,046 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,047 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,048 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,049 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 15, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,050 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,051 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,052 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,053 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,054 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,055 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,056 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,057 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,058 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,059 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,060 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,061 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,062 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,063 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,064 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,065 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,066 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,067 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,068 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,069 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 16, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,071 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,072 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,073 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,074 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,075 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,076 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,077 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,078 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,079 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,080 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,081 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,082 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,083 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,084 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,085 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,086 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,087 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,088 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,089 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 17, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,090 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,091 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,092 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,093 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,094 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,095 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,096 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,097 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,098 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,099 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,100 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,101 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,102 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,103 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,104 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,105 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,106 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,107 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,108 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,109 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 18, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,114 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,115 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,116 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,117 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,118 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,119 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,120 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,121 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,122 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,123 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,124 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,125 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,126 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,127 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,128 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,129 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,130 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,131 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,132 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,133 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 19, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,138 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,139 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,140 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,141 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,142 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,143 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,144 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,145 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,146 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,147 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,148 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,149 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,150 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,151 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,152 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,153 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,154 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,155 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,156 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 20, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,157 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,158 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,159 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,160 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,161 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,162 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,163 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,164 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,165 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,166 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,167 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,168 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,169 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,170 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,171 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,172 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,173 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,174 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,175 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,176 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,178 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,179 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,180 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,181 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,182 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,183 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,184 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,185 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,186 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,187 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,188 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,189 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,190 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,191 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,192 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 22, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,193 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,194 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,195 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,196 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,197 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,198 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,199 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,200 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,201 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,202 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,203 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,204 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,205 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,206 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,207 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,208 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,209 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,210 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 23, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 24, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 24, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 24, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,215 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 24, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,216 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 24, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,217 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 24, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,218 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 24, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,219 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 24, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,220 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 24, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,221 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 24, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,222 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 25, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,223 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 25, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,224 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 25, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,225 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 25, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,226 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 25, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,227 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 25, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,228 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 25, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,229 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 25, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,230 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 26, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,231 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 26, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,232 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 26, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,233 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 26, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,234 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 26, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,235 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 26, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,236 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 27, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,237 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 27, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,238 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 27, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,239 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 27, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,240 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 27, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,241 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 27, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,242 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 27, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,243 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 27, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,244 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 28, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,245 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 28, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,246 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 28, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,247 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 28, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,248 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 28, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,249 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 28, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,250 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 28, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,251 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 06, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,252 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 06, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,253 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 06, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,254 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 06, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,255 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 06, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,256 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 06, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,257 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 06, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,258 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 06, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,259 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 06, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,260 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 07, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,261 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 07, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,262 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 07, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,263 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 07, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,264 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 07, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,265 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 07, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,266 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 07, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,267 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 07, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,268 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 08, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,269 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 08, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,270 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 08, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,271 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 08, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,272 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 08, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,273 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 08, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,274 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 08, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,275 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,276 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,277 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,278 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,279 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,280 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,281 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,282 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,283 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,284 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,285 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,286 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,287 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,288 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,289 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,290 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,291 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,292 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,293 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,294 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 09, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,297 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,298 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,299 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,300 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,301 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,302 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,303 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,304 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,305 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,306 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,307 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,308 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,309 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,310 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,311 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,312 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,313 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,314 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,315 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,316 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 10, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,319 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 11, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,320 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 11, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,321 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 11, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,322 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 11, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,323 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 11, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,324 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 11, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,325 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 11, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,326 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 11, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,327 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 12, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,328 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 12, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,329 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 12, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,330 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 12, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,331 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 12, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,332 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 12, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,333 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 12, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,334 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 12, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,335 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,336 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,337 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,338 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,339 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,340 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,341 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,342 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,343 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,344 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,345 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,346 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,347 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,348 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,349 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 13, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,350 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,351 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,352 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,353 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,354 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,355 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,356 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,357 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,358 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,359 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,360 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,361 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,362 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,363 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,364 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,365 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,366 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,367 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,368 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 14, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,369 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,370 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,371 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,372 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,373 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,374 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,375 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,376 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,377 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,378 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,379 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,380 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,381 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,382 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,383 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,384 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,385 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,386 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,387 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,388 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,389 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,390 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,391 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,392 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,393 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,394 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,395 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,396 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,397 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,398 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,399 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,400 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,401 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,402 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,403 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,404 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,405 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,406 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,407 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,408 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,409 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 17, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,410 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,411 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,412 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,413 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,414 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,415 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,416 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,417 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,418 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,419 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,420 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,421 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,422 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,423 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,424 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,425 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,426 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,427 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,428 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 18, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,429 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,430 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,431 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,432 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,433 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,434 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,435 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,436 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,437 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,438 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,439 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,440 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,441 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,442 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,443 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,444 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,445 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,446 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,447 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,448 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 19, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,453 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,454 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,455 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,456 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,457 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,458 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,459 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,460 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,461 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,462 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,463 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,464 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,465 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,466 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,467 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,468 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,469 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,470 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,471 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,472 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,473 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,474 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,475 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,476 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,477 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,478 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,479 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,480 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,481 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,482 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,483 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,484 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,485 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,486 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 21, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,487 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,488 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,489 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,490 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,491 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,492 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,493 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,494 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,495 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,496 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,497 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,498 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,499 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,500 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 22, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,501 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 23, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,502 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 23, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,503 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 23, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,504 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 23, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,505 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 23, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,506 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 23, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,507 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 23, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,508 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 23, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,509 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 23, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,510 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,511 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,512 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,513 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,514 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,515 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,516 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,517 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,518 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,519 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,520 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,521 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 24, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,522 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 25, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,523 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 25, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,524 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 25, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,525 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 25, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,526 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 25, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,527 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 25, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,528 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 25, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,529 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 26, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,530 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 26, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,531 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 26, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,532 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 26, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,533 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 26, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,534 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 27, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,535 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 27, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,536 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 27, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,537 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 27, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,538 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 27, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,539 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 27, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,540 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 27, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,541 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 27, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,542 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 28, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,543 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 28, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,544 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 28, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,545 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 28, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,546 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 28, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,547 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 28, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,548 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 29, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,549 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 29, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,550 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 29, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,551 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 29, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,552 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 29, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,553 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 29, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,554 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 29, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,555 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 29, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,556 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 30, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,557 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 30, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,558 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 30, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,559 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 30, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,560 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 30, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,561 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 30, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,562 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 30, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,563 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 30, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,564 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 31, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,565 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 31, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,566 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 31, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,567 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 31, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,568 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 31, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,569 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 31, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,570 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 31, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,571 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 31, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,572 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 31, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,573 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 31, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,574 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 31, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,575 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,576 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,577 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,578 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,579 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,580 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,581 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,582 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,583 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,584 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,585 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,586 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,587 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,588 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,589 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,590 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,591 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,592 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 01, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,593 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,594 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,595 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,596 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,597 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,598 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,599 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,600 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,601 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,602 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,603 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,604 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,605 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,606 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,607 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,608 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 02, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,609 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,610 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,611 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,612 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,613 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,614 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,615 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,616 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,617 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,618 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,619 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,620 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,621 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,622 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,623 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,624 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,625 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,626 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,627 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,628 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 03, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,633 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,634 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,635 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,636 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,637 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,638 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,639 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,640 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,641 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,642 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,643 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,644 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,645 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,646 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,647 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,648 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,649 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,650 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,651 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,652 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 04, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,657 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,658 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,659 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,660 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,661 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,662 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,663 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,664 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,665 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,666 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,667 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,668 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,669 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,670 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,671 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,672 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,673 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,674 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,675 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,676 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 05, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,681 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,682 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,683 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,684 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,685 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,686 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,687 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,688 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,689 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,690 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,691 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,692 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,693 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,694 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,695 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,696 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,697 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,698 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,699 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,700 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 06, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,701 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,702 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,703 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,704 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,705 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,706 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,707 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,708 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,709 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,710 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,711 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,712 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,713 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,714 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,715 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,716 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,717 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,718 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,719 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,720 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 07, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,724 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,725 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,726 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,727 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,728 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,729 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,730 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,731 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,732 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,733 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,734 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,735 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,736 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,737 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,738 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,739 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,740 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,741 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,742 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,743 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 08, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,748 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,749 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,750 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,751 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,752 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,753 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,754 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,755 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,756 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,757 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,758 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,759 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,760 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,761 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,762 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,763 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,764 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,765 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,766 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,767 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 09, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,772 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,773 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,774 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,775 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,776 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,777 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,778 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,779 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,780 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,781 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,782 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,783 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,784 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,785 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,786 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,787 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,788 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,789 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,790 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,791 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 10, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,796 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,797 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,798 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,799 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,800 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,801 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,802 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,803 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,804 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,805 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,806 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,807 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,808 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,809 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,810 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,811 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,812 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,813 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,814 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,815 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 11, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,820 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,821 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,822 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,823 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,824 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,825 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,826 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,827 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,828 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,829 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,830 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,831 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,832 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,833 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,834 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,835 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,836 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,838 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,839 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 12, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,821 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,822 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,823 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,824 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,825 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,826 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,827 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,828 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,829 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,830 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,831 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,832 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,833 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,834 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,835 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,836 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,838 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,839 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,840 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 13, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,821 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,822 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,823 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,824 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,825 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,826 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,827 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,828 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,829 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,830 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,831 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,832 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,833 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,834 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,835 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,836 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,838 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,839 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,840 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,821 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,822 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,823 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,824 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,825 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,826 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,827 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,828 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,829 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,830 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,831 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,832 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,833 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,834 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,835 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,836 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,838 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,839 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,840 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 15, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,828 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,829 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,830 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,831 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,832 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,833 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,834 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,835 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,836 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,838 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,839 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,840 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,841 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,842 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,843 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,844 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,845 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,846 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,847 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 16, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,852 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,853 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,854 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,855 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,856 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,857 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,858 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,859 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,860 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,861 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,862 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,863 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,864 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,865 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,866 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,867 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,868 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,869 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,870 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,871 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 17, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,875 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,876 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,877 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,878 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,879 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,880 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,881 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,882 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,883 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,884 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,885 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,886 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,887 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,888 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,889 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,890 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,891 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,892 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 18, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,893 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,894 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,895 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,896 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,897 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,898 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,899 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,900 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,901 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,902 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,903 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,904 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,905 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,906 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,907 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,908 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,909 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,910 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,911 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,912 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 19, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,917 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,918 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,919 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,920 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,921 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,922 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,923 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,924 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,925 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,926 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,927 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,928 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,929 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,930 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,931 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,932 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,933 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,934 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,935 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,936 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,941 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,942 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,943 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,944 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,945 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,946 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,947 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,948 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,949 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,950 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,951 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,952 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,953 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,954 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,955 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,956 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,957 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,958 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,959 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 21, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,960 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,961 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,962 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,963 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,964 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,965 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,966 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,967 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,968 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,969 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,970 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,971 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,972 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,973 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,974 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,975 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,976 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,977 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,978 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,979 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 22, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,984 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,985 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,986 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,987 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,988 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,989 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,990 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,991 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,992 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,993 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,994 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,995 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,996 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,997 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,998 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #5,999 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,000 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,001 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,002 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,003 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 23, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,008 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,009 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,010 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,011 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,012 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,013 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,014 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,015 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,016 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,017 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,018 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,019 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,020 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,021 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,022 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,023 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,024 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,025 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 24, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,026 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,027 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,028 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,029 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,030 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,031 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,032 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,033 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,034 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,035 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,036 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,037 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,038 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,039 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,040 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,041 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,042 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,043 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,044 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,045 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 25, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,046 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,047 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,048 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,049 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,050 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,051 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,052 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,053 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,054 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,055 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,056 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,057 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,058 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,059 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,060 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,061 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,062 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,063 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,064 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,065 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 26, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,068 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,069 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,070 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,071 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,072 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,073 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,074 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,075 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,076 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,077 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,078 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,079 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,080 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,081 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,082 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,083 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,084 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,085 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,086 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,087 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 27, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,088 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,089 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,090 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,091 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,092 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,093 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,094 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,095 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,096 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,097 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,098 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,099 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,100 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,101 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,102 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,103 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,104 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,105 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,106 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,107 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,111 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,112 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,113 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,114 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,115 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,116 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,117 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,118 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,119 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,120 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,121 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,122 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,123 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,124 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,125 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,126 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,127 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,128 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,129 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,130 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 29, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,135 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,136 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,137 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,138 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,139 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,140 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,141 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,142 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,143 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,144 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,145 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,146 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,147 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,148 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,149 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 30, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,150 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,151 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,152 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,153 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,154 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,155 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,156 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,157 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,158 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,159 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,160 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,161 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,162 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,163 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,164 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,165 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,166 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,167 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,168 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,169 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 31, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,174 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,175 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,176 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,177 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,178 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,179 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,180 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,181 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,182 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,183 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,184 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,185 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,186 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,187 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,188 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,189 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,190 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,191 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,192 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,193 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 01, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,198 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,199 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,200 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,201 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,202 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,203 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,204 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,205 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,206 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,207 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,208 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,209 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,210 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,215 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,216 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,217 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 02, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,222 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,223 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,224 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,225 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,226 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,227 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,228 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,229 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,230 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,231 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,232 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,233 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,234 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,235 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,236 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,237 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,238 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,239 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,240 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,241 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 03, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,246 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,247 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,248 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,249 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,250 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,251 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,252 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,253 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,254 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,255 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,256 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,257 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,258 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,259 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,260 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,261 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,262 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,263 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,264 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,265 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 04, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,270 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,271 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,272 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,273 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,274 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,275 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,276 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,277 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,278 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,279 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,280 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,281 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,282 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,283 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,284 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,285 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,286 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,287 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,288 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,289 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 05, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,294 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,295 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,296 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,297 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,298 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,299 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,300 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,301 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,302 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,303 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,304 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,305 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,306 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,307 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,308 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,309 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,310 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,311 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,312 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,313 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 06, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,318 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,319 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,320 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,321 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,322 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,323 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,324 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,325 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,326 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,327 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,328 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,329 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,330 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,331 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,332 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,333 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,334 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,335 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,336 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,337 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 07, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,342 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 08, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,343 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 08, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,344 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 08, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,345 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 08, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,346 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 08, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,347 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 08, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,348 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 08, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,349 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 08, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,350 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 08, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,351 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 08, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,352 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 08, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,353 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 09, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,354 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 09, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,355 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 09, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,356 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 09, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,357 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 09, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,358 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,359 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,360 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,361 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,362 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,363 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,364 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,365 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,366 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,367 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,368 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,369 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,370 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,371 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,372 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,373 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,374 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,375 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,376 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,377 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,381 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,382 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,383 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,384 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,385 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,386 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,387 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,388 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,389 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,390 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,391 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,392 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,393 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,394 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,395 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,396 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,397 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,398 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 11, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,399 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,400 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,401 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,402 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,403 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,404 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,405 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,406 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,407 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,408 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,409 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,410 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,411 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,412 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,413 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,414 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,415 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,416 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,417 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,418 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 12, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,419 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,420 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,421 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,422 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,423 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,424 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,425 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,426 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,427 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,428 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,429 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,430 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,431 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,432 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,433 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,434 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,435 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,436 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,437 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 13, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,438 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,439 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,440 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,441 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,442 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,443 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,444 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,445 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,446 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,447 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,448 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,449 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,450 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,451 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,452 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,453 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,454 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,455 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,456 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 14, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,457 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,458 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,459 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,460 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,461 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,462 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,463 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,464 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,465 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,466 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,467 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,468 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,469 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,470 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,471 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,472 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,473 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,474 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,475 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,476 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,481 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,482 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,483 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,484 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,485 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,486 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,487 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,488 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,489 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,490 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,491 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,492 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,493 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,494 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,495 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,496 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,497 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,498 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,499 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 16, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,500 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 16, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,505 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,506 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,507 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,508 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,509 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,510 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,511 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,512 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,513 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,514 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,515 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,516 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,517 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,518 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,519 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,520 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,521 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,522 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,523 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,524 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 17, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,529 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,530 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,531 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,532 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,533 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,534 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,535 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,536 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,537 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,538 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,539 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,540 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,541 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,542 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,543 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,544 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,545 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,546 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,547 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 18, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,548 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 18, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,553 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,554 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,555 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,556 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,557 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,558 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,559 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,560 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,561 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,562 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,563 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,564 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,565 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,566 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,567 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,568 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,569 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,570 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,571 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 19, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,572 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 19, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,576 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,577 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,578 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,579 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,580 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,581 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,582 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,583 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,584 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,585 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,586 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,587 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,588 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,589 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,590 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,591 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,592 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,593 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,594 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,595 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,600 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,601 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,602 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,603 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,604 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,605 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,606 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,607 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,608 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,609 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,610 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,611 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,612 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,613 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,614 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,615 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,616 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,617 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,618 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,619 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 21, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,624 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,625 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,626 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,627 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,628 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,629 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,630 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,631 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,632 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,633 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,634 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,635 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,636 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,637 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,638 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,639 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,640 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,641 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,642 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,643 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 22, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,648 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,649 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,650 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,651 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,652 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,653 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,654 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,655 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,656 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,657 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,658 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,659 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,660 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,661 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,662 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,663 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,664 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,665 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,666 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,667 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 23, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,672 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,673 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,674 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,675 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,676 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,677 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,678 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,679 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,680 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,681 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,682 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,683 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,684 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,685 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,686 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,687 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,688 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,689 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,690 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,691 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 24, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,696 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,697 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,698 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,699 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,700 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,701 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,702 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,703 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,704 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,705 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,706 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,707 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,708 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,709 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,710 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,711 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,712 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,713 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,714 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,715 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 25, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,720 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,721 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,722 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,723 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,724 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,725 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,726 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,727 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,728 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,729 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,730 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,731 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,732 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,733 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,734 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,735 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,736 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,737 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,738 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,739 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 26, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,740 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,741 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,742 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,743 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,744 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,745 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,746 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,747 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,748 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,749 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,750 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,751 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,752 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,753 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,754 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,755 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,756 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,757 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,758 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,759 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,760 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,761 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,762 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,763 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,764 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,765 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,766 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,767 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,768 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,769 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,770 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,771 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,772 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,773 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,774 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,775 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,776 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,777 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,778 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,779 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,780 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,781 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,782 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,783 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,784 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,785 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,786 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,787 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,788 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,789 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,790 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,791 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,792 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,793 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,794 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,795 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,796 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,797 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,798 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,799 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,800 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,801 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,802 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,803 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,804 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,805 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,806 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,807 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,808 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,809 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,810 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,811 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,812 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,813 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 30, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,818 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,819 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,820 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,821 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,822 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,823 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,824 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,825 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,826 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,827 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,828 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,829 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,830 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,831 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,832 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,833 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,834 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,835 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,836 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 01, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,842 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,843 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,844 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,845 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,846 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,847 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,848 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,849 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,850 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,851 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,852 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,853 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,854 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,855 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,856 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,857 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,858 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,859 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,860 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 02, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,861 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 02, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,866 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,867 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,868 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,869 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,870 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,871 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,872 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,873 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,874 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,875 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,876 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,877 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,878 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,879 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,880 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,881 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,882 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,883 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,884 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 03, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,885 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 03, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,887 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,888 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,889 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,890 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,891 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,892 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,893 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,894 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,895 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,896 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,897 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,898 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,899 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,900 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,901 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,902 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,903 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,904 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,905 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 04, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,906 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 04, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,910 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,911 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,912 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,913 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,914 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,915 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,916 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,917 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,918 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,919 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,920 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,921 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,922 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,923 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,924 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,925 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,926 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,927 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,928 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 05, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,929 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 05, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,931 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,932 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,933 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,934 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,935 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,936 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,937 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,938 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,939 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,940 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,941 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 06, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,942 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 06, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,943 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,944 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,945 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,946 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,947 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,948 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,949 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,950 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,951 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,952 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,953 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,954 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,955 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,956 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,957 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,958 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,959 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,960 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,961 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 07, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,962 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 07, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,963 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,964 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,965 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,966 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,967 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,968 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,969 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,970 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,971 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,972 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,973 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,974 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,975 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,976 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,977 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,978 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,979 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,980 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,981 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 08, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,982 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 08, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,986 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,987 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,988 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,989 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,990 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,991 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,992 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,993 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,994 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,995 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,996 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,997 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,998 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #6,999 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,000 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,001 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,002 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,003 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,004 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 09, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,005 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 09, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,010 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,011 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,012 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,013 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,014 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,015 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,016 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,017 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,018 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,019 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,020 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,021 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,022 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,023 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,024 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,025 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,026 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,027 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,028 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 10, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,029 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 10, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,033 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,034 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,035 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,036 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,037 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,038 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,039 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,040 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,041 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,042 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,043 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,044 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,045 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,046 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,047 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,048 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,049 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,050 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,051 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 11, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,052 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 11, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,056 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,057 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,058 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,059 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,060 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,061 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,062 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,063 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,064 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,065 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,066 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,067 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,068 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,069 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,070 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 12, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,071 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 12, 2022, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,072 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,073 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,074 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,075 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,076 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,077 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,078 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,079 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,080 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,081 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,082 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 13, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,083 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 13, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,084 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 14, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,085 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 14, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,086 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 14, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,087 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 14, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,088 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 14, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,089 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 14, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,090 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 14, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,091 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 14, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 14, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,092 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 14, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,093 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,094 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,095 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,096 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,097 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,098 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,099 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,100 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,101 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,102 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,103 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,104 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,105 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,106 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,107 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,108 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,109 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 15, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,110 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 15, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,111 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 17, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,112 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 17, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,113 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 17, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,114 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 17, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,115 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 17, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,116 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 17, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,117 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 17, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 17, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,118 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 17, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,119 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 20, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,120 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 20, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,121 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 20, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,122 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 20, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,123 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 20, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,124 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 20, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,125 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 20, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 20, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,126 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 20, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,126 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 21, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,127 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 21, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,128 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 21, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,129 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 21, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,130 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 21, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,131 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 21, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,132 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 21, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,133 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 21, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 21, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,134 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 21, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,127 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,128 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,129 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,130 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,131 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,132 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,133 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,134 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,135 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,136 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,137 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,138 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,139 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,140 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,141 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,142 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,143 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,144 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,145 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 22, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,146 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 22, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,148 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,149 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,150 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,151 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,152 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,153 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,154 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,155 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,156 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,157 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,158 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,159 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,160 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,161 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,162 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,163 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,164 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,165 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,166 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 23, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,167 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 23, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,167 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,168 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,169 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,170 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,171 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,172 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,173 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,174 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,175 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,176 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,177 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,178 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,179 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,180 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,181 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,182 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,183 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,184 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,185 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 24, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,186 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 24, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,179 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,180 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,181 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,182 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,183 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,184 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,185 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,186 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,187 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,188 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,189 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,190 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,191 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,192 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,193 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,194 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,195 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,196 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,197 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 25, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,198 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 25, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,195 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,196 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,197 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,198 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,199 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,200 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,201 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,202 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,203 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,204 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,205 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,206 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,207 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,208 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,209 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,210 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 26, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,215 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,216 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,217 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,218 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 27, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,209 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,210 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,215 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,216 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,217 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 28, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,218 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 28, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,215 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,216 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,217 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 29, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,218 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 29, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,207 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,208 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,209 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,210 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 30, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 30, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,207 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,208 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,209 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,210 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,215 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,216 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 31, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,217 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 31, 2022, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,196 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,197 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 2, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,198 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,199 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,200 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 5, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,201 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,202 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,203 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,204 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,205 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,206 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

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Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,207 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,208 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,209 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024



## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,210 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,211 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,212 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,213 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### NOTICE

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,214 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2024		Payment Due Date: January 10, 2025	
MSA File Number	23-003	<b>Specified Penalty Amount</b>	<b>\$5,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 01, 2022
Date of Referral/Self Report	November 30, 2022	This is contravention #7,215 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On November 01, 2022, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 29, 2024